

Type of paper: Research protocols

National Food, Nutrition and Physical Activity Survey of the Portuguese general population, 2015-2016: Design and methods

Carla Lopes^{1,2}, Duarte Torres³, Andreia Oliveira^{1,2}, Milton Severo^{1,2}, Sofia Guiomar^{4,5},
Violeta Alarcão⁵, Elisabete Ramos^{1,2}, Sara Rodrigues³, Sofia Vilela², Luísa Oliveira⁴,
Jorge Mota⁶, Pedro J. Teixeira⁷, Paulo Nicola⁵, Simão Soares⁸, Lene Frost Andersen⁹;
The IAN-AF Consortium

¹ Faculty of Medicine, University of Porto, Portugal

² EPIUnit, Institute of Public Health, University of Porto, Portugal

³ Faculty of Nutrition and Food Sciences, University of Porto, Portugal

⁴ National Health Institute Doutor Ricardo Jorge, Portugal

⁵ Institute of Preventive Medicine and Public Health, Faculty of Medicine, University of
Lisbon, Portugal

⁶ CIAFEL, Faculty of Sports, University of Porto, Portugal

⁷ Faculty of Human Kinetics, CIPER, University of Lisbon, Portugal

⁸ SilicoLife, Lda, Portugal

⁹ Faculty of Medicine University of Oslo, Norway

Corresponding author:

Carla Lopes

Department of Public Health and Forensic Sciences, and Medical Education

Faculty of Medicine, University of Porto, Portugal

Alameda Professor Hernâni Monteiro 4200-319, Porto, PORTUGAL

Phone: +351-220426640/ Fax: +351 225513653

E-mail: carlal@med.up.pt

ABSTRACT

Background: The assessment of food consumption data using harmonized methodologies at the European level is fundamental to support the development of public policies. Portugal is one of the countries with information on individual food consumption most out of date.

Objective: This paper aims to describe the design and methodology of the National Food, Nutrition and Physical Activity Survey, 2015-2016 developed to collect national and regional data on dietary habits, physical activity and nutritional status, in a representative sample of the Portuguese general population (3 months-84 years).

Methods: Participants were selected by multistage sampling, using as frame the National Health Registry. Data collection, during 12 months, was harmonized according to European guidelines (EU-MENU, EFSA). Computer-assisted personal interviewing was performed on a specific electronic platform synchronized with nutritional composition data and considering the FoodEx2 classification system. Dietary assessment was performed by using 24-hour recalls (two non-consecutive, 8-15 days apart) or food diaries in the case of children <10 years-old, complemented with a food propensity questionnaire; Physical activity data (International Physical Activity Questionnaire, the Activity Choice Index, and 4-days physical activity diaries), sociodemographic and other health-related were also collected.

Results: A sample of 6553 individuals completed the first interview and 5811 participants completed two dietary assessments. The participation rate among eligible individuals was 33% considering the first interview and 30%, for the participants with two completed interviews (about 40% in children and adolescents and 20% in elderly individuals). Results of the survey will be disseminated in national and international scientific journals during 2018-2019.

Conclusion: The Survey will assist policy planning and management of national and European health programs on the improvement of nutritional status and risk assessment related to food hazards, and the enhancement of physical activity. The infrastructures and data driven are a solid basis to the development of a future surveillance system reproducible over time.

Keywords

Dietary surveys – Nationwide Survey - Physical Activity – Public Health – Children – Adults – Elderly

Introduction

Monitoring food consumption at the national level is imperative to assist health policymaking, to provide a solid basis for the development of nutritional and food security policies and to plan future research. According to the European Report on Food Consumption Survey Methods (EFCOSUM) (1), Portugal was among the European countries with information on individual food habits most out of date. The first National Dietary Survey was conducted in 1980 (2) and since then no nationwide individual information has been collected using harmonized instruments capable of answering official European indicators.

The European Food Safety Authority (EFSA) has conducted the Pan-European Survey "What's in European menu?" (EU-Menu), promoting the development and testing of harmonized instruments and protocols for evaluating food consumption across Europe (3), in which Portugal has been involved by conducting the National Food, Nutrition and Physical Activity Survey in 2015-2016 (acronym: IAN-AF 2015-2016).

This paper aims to describe the design and data collection methodologies used in the IAN-AF, 2015-2016. The Survey aimed to collect nationwide data (from 3 months to 84 years of age) on dietary habits (foods, nutrients, dietary supplements, food safety and insecurity) and physical activity (sedentary behaviours, sports and active choices in daily living), and their relation with health determinants, namely socioeconomic factors.

METHODS

Sampling

A probabilistic sample of the Portuguese general population aged between three months and 84 years of age was selected by multistage sampling, using as sampling frame the National Health Registry (RNU coding). Participation was

independent of the regular attendance to the National Health System.

The first step of sampling was based on the random selection of Primary Health Care Units, stratified by the seven Statistical Geographic Units of Portugal (NUTS II), weighted by the number of individuals registered in each Health Unit. The second step of sampling was based on the random selection of registered individuals in each Health Unit, according to sex and age groups.

The sample selection was performed in consecutive recruitment waves in order to use the most updated versions of the National Health Registry lists (four recruitment waves for infants and toddlers and two recruitment waves for the remaining age groups).

Individuals with the following criteria were excluded: i) living in collective residences or institutions (e.g. elderly in retirement homes or individuals in hospitals, at prisons or military barracks); ii) living in Portugal for less than 1 year (non-applicable to infants); iii) non-Portuguese speakers; iv) with diminished physical and/or cognitive abilities that hamper participation (e.g. blind, deaf, with diagnosed dementias); v) deceased.

Individuals with no established contact after all planned attempts were considered with unknown eligibility. Eligible participants without availability for the two interviews during the evaluation period or who missed appointments were classified as eligible non-participants. In addition, for eligible participants aged 65 years-old or more, a screening of cognitive impairment was performed by using the Mini-Mental State Examination test (4). The classification of cognitive impairment was performed by using the scale's score according to education level (5, 6): illiterate and 15 points or less; 1 to 11 years of education and 22 points or less; at least 11 years of education and 27 points or less. For these individuals only few socio-demographic and anthropometrics information were collected.

Estimated sample size

The sample size was estimated by assuming a mean population energy intake of 2000 kcal/day (sd=500) and an effect size of 8%, with a confidence level of 95%. The sample size for each geographical region was estimated in 603 individuals (a total of 4221 individuals in the seven regions).

To estimate the study design effect, the following information was taken into consideration: a) a coefficient of variation of cluster sizes defined as 0.4; b) data from cluster-based studies with primary health care setting in Portugal, measuring the dependency effect of exposures such as body mass index or energy intake (mean intra-cluster correlation coefficient of 0.58%); c) a mean number of participants reachable in each primary health care Unit, depending on the field work management (30 individuals were estimated to be evaluated during four weeks). Considering these data, a design effect of 1.20 (an increase of 20% of the sample size) was estimated.

As a result of the settled design effect, the number of individuals to be assessed in each region was estimated as 724, resulting in a total sample of 5068 individuals in the 7 regions. Thus, taking into consideration the distribution of the Portuguese population according to the CENSUS 2011 (7), the sample size required to have representativeness at the national level was of 5068 individuals: 935 children and adolescents (0-17 years), 3262 adults (18-64 years) and 871 elderly (65-84 years). To accomplish the EFSA requirements of including 260 individuals in each age group (130 by sex), an oversampling of children less than 1 year old (3-11 months) (6 times the initial proportion) and 1 to 2 years old (3 times the initial proportion) was considered, followed by a redistribution of the sample size for the other age groups. In each Health Unit, the number of individuals to be assessed by sex and age group was fixed,

An additional sample of pregnant women was also estimated (n=200) using the same sampling frame, resulting in 2 to 3 pregnant women by each Health Unit.

A potential participation rate of 70% in the first interview and in the second

interview was defined, resulting in an expected 50% ($70\% \times 70\% = 49\%$) of non-response, unreachable individuals, incomplete questionnaires and drop-offs. Thereby, the number of participants to be selected and contacted was estimated at 10204 (5102 x 2). After a pilot study, a more conservative participation rate of 20% (5102 x 5=25510) was assumed.

Assuming a one-month period for data collection in each Health Unit, and an estimation of approximately 30 participants by Unit, the number of Health Units needed to be selected was 21 by region. This number was applied to the North, Centre and Lisbon regions, but due to logistic constraints related to field work efficiency and to the low number of Health Units in the other regions, 12 Health Units were selected in Alentejo and Algarve, and 6 Health Units were selected in Madeira and Azores. Figure 1 shows the spatial distribution of the Health Units, randomly selected in each of the seven regions (NUTS II), weighted by the number of registered individuals.

Field work management and Recruitment

The field work management team included a National and a Sub-National Coordinators and five Regional Coordinators. The field work team included 40 interviewers with Nutrition or Dietetics background, and one Statistician.

All the procedures related to the management of the field work and participants' recruitment were performed through an electronic platform ("You eAT&Move") based on a client-server software architecture and especially developed for the Survey purposes.

Participants were contacted by telephone to check their willingness to participate. At maximum, six contact attempts in different daytimes and day hours were performed. If participants did not answer after four attempts, a short message was sent, followed by more two contacts attempts, before they were classified as unknown eligibility.

If an oral acceptance was provided by individuals, an invitation letter with participation details was sent by post mail. If individuals refused to participate, a short refusal questionnaire was applied by phone. The examination location was selected according to participant's preference. One of two options was provided: the participant's home or the Health Units they belong to.

Data collection

Overall, the Survey includes the evaluation of the following dimensions: 1) dietary and nutritional intake; 2) eating habits and behaviours; 3) dietary and nutritional supplements use; 4) food insecurity; 5) physical activity and sedentary behaviours; 6) sociodemographics; 7) general health data; 8) anthropometrics; 9) biochemical indicators of nutritional intake (sub-sample).

Most of the procedures of data collection were adapted from the EFSA Guidance in view of the EU Menu methodology (3). Data were collected by trained fieldworkers using Computer-assisted personal interviewing (CAPI), during 12 months (October 2015-September 2016), distributed over the four seasons and including all days of the week in order to incorporate seasonal effects and day-to-day variation in food intake. For interviews, the "You eAT&Move" software includes 3 main modules:

a) **'You'** module, that includes sociodemographic questions, anthropometric measures, general health data, food consumption by a food propensity questionnaire, and food insecurity;

b) **'eAT24'** module, which allows the collection and description of food consumption data by 24-hours recalls (or food diaries) with food pictures for portion size estimation, synchronized with nutritional composition data of foods and recipes;

c) **'Move'** module, which allows the collection of PA data and includes the IPAQ questionnaire, the Activity Choice Index and PA diaries, synchronized with metabolic equivalents data associated with each type of PA.

Table 1 shows the overview of data dimensions collected in the IAN-AF 2015-2016 Survey, by first and second interviews and age groups (plus pregnant women).

Table 1. Overview of data dimensions collected in the IAN-AF 2015-2016 Survey by age groups (plus pregnant women).

Modules	Dimensions	Age groups (years)							Pregnant women
		3 mo-2	3-5	6-9	10-14	15-17	18-64	65-84	
You	Sociodemographics (SD) ^a	SD1	SD1	SD1	SD2	SD2	SD3	SD3	SD3
	General health (G) ^b	G1	G2	G2	G3	G3	G4	G4	G5
	Eating behaviours (EB) ^c	EA1	EA2	EA2	EA2	EA2	EA3	EA3	EA3
	Anthropometrics (A) ^d	A1	A2	A2	A2	A2	A2	A2	A3
	Household Food Security (HFS)	-	-	-	-	-	HFS	HFS	HFS
	Food Propensity Questionnaire (FPQ) ^e	-	FPQ1	FPQ1	FPQ2	FPQ2	FPQ2	FPQ2	FPQ3
eAT24	Food diaries 1 (FD) ^f	FD1	FD2	FD2	-	-	-	-	-
	Food diaries 2 (FD)								
	24h recall 1 (24R)	-	-	-	24R	24R	24R	24R	24R
	24h recall 2 (24R)	-	-	-	24R	24R	24R	24R	24R
Move	Physical Activity Diaries (PAD)	-	-	PAD	PAD	-	-	-	-
	International Physical Activity Questionnaire (IPAQ)	-	-	-	-	IPAQ	IPAQ	IPAQ	IPAQ
	Activity Choice Index (ACI)					ACI	ACI	ACI	ACI
	Sedentary behaviours (SB) ^g	-	SB1	SB2	SB3	SB4	SB5	SB5	SB6

^a SD1 and SD2 differ from SD3 by including also questions on country of origin, nationality, professional activity and education of parents, and the current scholar year of the adolescent (in SD2 only). These versions do not have questions on marital status and household income (only included in SD3).

^b G1 previous diseases with need of regular medical care (pre-defined list of diseases) and identifies the health professional assistant. G2 only assesses previous diseases with need of regular medical care. G3 assesses previous diseases with need of regular medical care, but also the general health condition and current and past smoking habits. G4 evaluates previous diseases with need of regular medical care, and also diseases previously diagnosed by a physician, the general health condition, current and past smoking habits, and gynaecological history in women. G5 assesses the general health condition before and during pregnancy, smoking habits before and during pregnancy, gynaecological history and data on current pregnancy (gestational weeks, health problems, etc.).

^c EA1 include questions relating to breastfeeding, consumption of different milk options, food diversification and a brief food frequency questionnaire (FFQ) of interest items. EA2 only includes a fruit and vegetables FFQ. EA3 include besides a fruit and vegetables FFQ, questions about organic foods consumption, food safety and a salt consumption scale.

^d A1 includes weight and length driven from the child health booklet and measured weight and length. A2 includes self-reported weight and height and measured weight, height, waist and hip circumferences. A3 does not include waist and hip circumference measurements, and evaluates self-reported height and weight before pregnancy.

^e FPQ1 assesses the consumption of 45 food items in the last month. FPQ2 assesses the consumption of 49 food items (including alcoholic beverages) in the last 12 months, and an option for seasonal consumption is available. FPQ3 is similar to FPQ2, but the reference period is the last 3 months.

^f FD1 differs from FD2 because has a specific structure for registering breastfeeding and formula feeding.

⁹ All SB include information about sleep habits on week and weekend days and questions about regular and programmed physical activity. SB1 differs from SB2 and SB3 on type of sedentary behaviours asked. SB4 and SB5 include also a question about physical or sedentary choices.

Dietary assessment methods

Dietary intake was obtained by two non-consecutive one-day food diaries for children aged less than 10 years old and two non-consecutive 24-hours recalls for the remaining age groups. The time between interviews was set at 8 to 15 days. The days of reporting were randomly selected, but participants were able to change them according to their own availability for the interview. For Saturdays, a 24-hour recall was performed on Mondays. For adolescents from 10 to 14 years old, the 24-hour recall was administered with the presence of one of the parents or other main caregiver; for adolescents from 15 to 17 years, the 24-hour recall was administered without the need of parents' help. For children aged less than 10 years old, the two non-consecutive one-day food diaries were followed by a face-to-face interview allowing the parent or other main caregiver to add details related to food description and quantification.

To validate nutritional intake in participants aged 18 years or more, data from the 24-hours recall was compared against urinary biomarkers. In a subsample of adults (n=94), 24-hours urinary samples were collected during the day before the second interview. The urinary concentration of sodium, potassium, iodine and total nitrogen were assessed.

The eAT24 module

Dietary intake data was collected using the 'eAT24' module (Electronic Assessment Tool for 24-hours recall) which allows the assessment of dietary data by an Automated Multiple-Pass Method for 24-hours (5 steps) (8). All foods, including beverages and dietary supplements consumed during a 24-hour period, were recorded per eating occasion and quantified and described as eaten.

The eAT24 methodology requires the description of consumed foods during the dietary interview through several facets and respective descriptors, using the FoodEx2 classification system (9). The place and time (meal) of consumption are also recorded

for each eating occasion.

The software allows subsequent conversion of foods into nutrients, using by default the Portuguese food composition table (10). Several activities were performed for updating or developing the food-related lists and the classification and description of foods. The initial food list was based on the 962 food items from the Portuguese food composition table updated with several other food items, such as infant formula, baby foods and ready to eat without processing items (such as desserts and drinks), resulting in a list of 2479 food items and 117 dietary supplement items. A recipe module was also created including 1696 recipes. In this module, recipes were disaggregated into raw ingredients allowing the description and quantification of each item. Recipes were described as five facets (Production Method, Brand, Preservation Method, Packaging Material and Reheating Method) and subsequently disaggregated into their ingredients. Recipe ingredients were also described according to the FoodEx2 classification system (9). Nutritional composition of recipes is calculated according to the methodology proposed by the EUROFIR network of excellence "Proposal for the harmonization of recipe calculation procedures" (11). The software allows the inclusion of a new food item or a new recipe during the data collection process.

Different methods are available for use for food and recipe quantification: a) weight or volume method; b) standard unit method; c) photo method (food picture book including 186 food photo series with six portions each food/recipe item and 11 household measures photo series); d) household measure method; e) default portion method.

The Food Propensity Questionnaire

A Food Propensity Questionnaire (FPQ) was also used for usual intake modelling purposes (3), adapted from a previous version proposed by the PANEU

project (12). The main objective is to identify never-consumers, in order to minimize problems with the day-to-day variation of intake, present in single or few short-term measurements (such as 24-hours recalls), that might affect the estimation of usual intake (13). The FPQ includes a general list of food/beverage groups and a list of specific foods and beverages important for risk assessment. The number of items in the list and the reference period of consumption differ by age group: children <9 years of age - 45 food items, reference period: the last month; adolescents and adults - 49 food items, reference period: the last 12 months; pregnant women - 49 food items, reference period: the last 3 months.

Dietary supplements

The use of dietary supplements was retrieved by two methods. The first method used the 24-hours recall, in which dietary supplements and foods consumed during a 24-hours period were recorded per consumption occasion and quantified and described as consumed. Supplements were described according to six facets (Supplement Source, Target Group, Place of Acquisition, Packaging Material, Brand and Physical State). This interview-based dietary assessment instrument allows a very detailed description of supplements consumed in the course of the preceding day. The second method asked the frequency of use, in the last 12 months, of a pre-defined list of 16 different dietary supplement types (e.g. supplements of vitamins, such as C, D and folate, supplements of minerals, such as calcium and iron, multivitamins, supplements of fatty acids, herbs and plants, probiotics, etc.).

Food Insecurity

Food insecurity (14) assessment was obtained by applying a slightly modified questionnaire developed by Radimer/Cornell (1990) (15), widely applied in the evaluation and monitoring of public food assistance programs in the USA and in other

countries, Bickel (2000) (16), and adapted for Portugal by INSA and ERS/USDA. The three-stage design questionnaire keeps respondent burden to the minimum needed to get reliable data. It provides estimates of food insecurity for households with or without children under the age of 18 years, by collecting information on four underlying dimensions and experience of food insecurity: availability, access, utilization and stability / resilience. In this way, food insecurity is associated not only with structural poverty but also with transitional - but not less serious - conditions of scarce, in particular financial resources. The food insecurity status of each household lies somewhere along a continuum, extending into three categories as: food security (households had no problems, or anxiety about, consistently accessing adequate food), moderate food insecurity (households reduced the quality, variety, and desirability of their diets, but the quantity of food intake and normal eating patterns were not substantially disrupted) and severe food insecurity (at times during the year, eating patterns of one or more household members were disrupted and food intake reduced because the household lacked money and other resources for food).

Physical activity assessment methods

For children (6-9 years) and adolescents (10-14 years), PA was assessed by diaries (2 consecutive days during the week and 2 of the weekend) and for the other age groups (≥ 15 years) the assessment method were the International Physical Activity Questionnaire (IPAQ) short version (17) and the Activity Choice Index (ACI) (18).

The PA diaries were an adaptation of a model proposed by Bouchard, et al. (19) in which children registered their activities in a logbook for each 15 minutes interval during four days, according to previous written instructions.

Additional questions on sedentary behaviours were also asked in all age groups (including in children from 3-6 years of age) by using the ACI scale (18). Self-reported activities representative of “opportunistic” active choices during the last month daily

living (e.g., taking the stairs, parking further away from an entrance, choosing to stand instead of sitting, etc.) were assessed with six item response options, on a 5-point Likert scale (i.e., 1 = never, 5 = always). Composite scales can be calculated by averaging the items. A question on structured leisure time PA activity, detailing the type and time of activity, was also asked in all age groups from 6 years of age.

The collection of PA data was performed using the e-module “Move”, including the IPAQ questionnaire and PA diaries, synchronized with metabolic equivalents data associated with each type of PA. For all types of activity, daily energy expenditure was computed using the energy cost of each activity as estimated from reference values for participants aged 15 years or more (20, 21) and using an adaption for children based on values proposed by Ridley, et al (22).

In children, energy expenditure was estimated by multiplying the related MET by the self-reported time spent in each activity (min/day) recorded in the diary. Individual daily energy expenditure was computed as the mean expenditure of the 4-day diaries. To validate the information from PA diaries, PA was objectively measured by accelerometry in a sub-sample of 35 participants from 6 to 14 years of age. Participants were asked on the first interview to wear an accelerometer (ActiGraph GT3X models; Pensacola, FL) during four days, including two consecutive weekdays and two consecutive weekend days, the same registered in the PA diary.

Anthropometrics

Adolescents and adults were asked to self-report their actual height and weight before the performance of objective measurements. In children, this information was retrieved from their health booklets, the last registry was considered. In pregnant women, weight and height was reported before pregnancy.

Anthropometric measurements, including length/height, weight and body

circumferences, were performed in both children and adults according to standard procedures (23), by trained observers. Height was measured to the nearest centimeter, with participants in a stand position with light clothing and barefoot, using a portable wall stadiometer (SECA® 213, Hamburg, Germany). For participants whose height was not possible to measure, the hand length was measured using a pocket ruler as an alternative (24). For children under 2 years of age, recumbent length was measured to the nearest 0.1 cm with a measuring rod with large calipers (SECA® 207; Hamburg, Germany).

Body weight was measured in the same conditions, to the nearest tenth of a kilogram using a digital scale (SECA® 813, Hamburg, Germany). For children under 2 years of age, a specific pediatric digital weight scale was used (SECA® 354, Hamburg, Germany) and measurements were done with participants naked and without diaper, to the nearest 0.01 cm.

Arm, waist and hip circumferences were measured, using an anthropometric tape, in all age groups except in children under 3 years of age and in pregnant women. Arm circumference was measured at the marked level of the mid-acromiale-radiale. Waist circumference was measured at the level of the narrowest point between the lower costal border and the iliac crest. Hip circumference was measured at the level of greatest posterior protuberance of the buttocks. All these circumferences were performed to the nearest 0.1 cm.

As part of quality control procedures, a bubble level was used to check the best position for the equipment in the room, a small platform was used to allow the direct observation of values from the stadiometer and the calibration of scales using standard weights of 5000g and 500g and their combinations was performed.

Quality control

Several quality control actions were undertaken before, during and after the

fieldwork process, such as: i) testing the e-platform in several pilot studies performed across the different geographical regions; ii) recruitment of interviewers with thorough knowledge of the available foods on the market and traditional recipes; iii) on-going training of those interviewers (distance electronic devices were used to assist interviews, when needed); iv) control of individual energy and macronutrient intake at the end of interview, and definition of a maximum food weight to easily identify information biases. This control is directly integrated in the software. For example for the total energy intake a minimum of 122 Kcal or 500 Kcal and a maximum of 2816 Kcal or 4000 Kcal were considered according to the age groups 3 months-9 years or 10 years to 84 years of age, respectively. An outlier is signaled with an alert message allowing to the interviewer to perform the corrections during the interview; v) preliminary statistical analysis during fieldwork to check possible information bias, the distribution of interviews by days of the week and seasons; vi) registry of doubts in an editor book to be solved by the research team; vii) calibration of anthropometric devices each 3 months; viii) application of a refusal questionnaire to non-responders in order to check the representativeness of the final sample; ix) identification of under and over-reporters of energy intake (3, 25), outliers and removal of intra-individual variability of dietary intake.

Ethics

Ethical approval was obtained from the National Commission for Data Protection, the Ethical Committee of the Institute of Public Health of the University of Porto and from the Ethical Commissions of each one of the Regional Administrations of Health.

All participants were asked to provide their written informed consent according to the Ethical Principles for Medical Research involving human subjects expressed in the Declaration of Helsinki and the national legislation. Written agreements from the

parents were required for children and adolescents below 18 years old. Adolescents (10-17 years old) were also asked to sign the consent form together with their legal representative.

All documents with identification data were treated separately and stored in a different dataset.

RESULTS

A total of 5819 participants completed two interviews (5811 with two complete dietary assessments) and 6553 completed only the first interview (Table 2). Approximately 23% are children under the age of 10, 11% are adolescents (10-17 years), 53% are adults (18-64 years) and 13% are elderly (65-84 years). An additional sample of 184 pregnant women was evaluated.

Table 2. Final sample size by sex and age groups - the IAN-AF 2015-2016 Survey

Age groups	Total	Children (<10 yrs)		Adolescents (10-17 yrs)		Adults (18-64 yrs)		Elderly (≥ 65 yrs)	
		♀	♂	♀	♂	♀	♂	♀	♂
Selected Participants (n)	29183	1923	1965	952	988	8336	9384	3094	2541
Unknown Eligibility (n)	5616	388	404	197	163	1677	1960	458	369
Known Eligibility (n)	23567	1535	1561	755	825	6659	7424	2636	2172
Eligible (n)	19635	1410	1422	658	719	5725	5971	2037	1693
Non Eligible (n)	3932	125	139	97	106	934	1453	599	479
Contact rate (%) ^a	77.8%	78.4%	77.9%	77.0%	81.5%	77.3%	75.3%	81.6%	82.1%
Participants 1st interview (n)	6553	769	745	351	349	1881	1563	429	466
Cooperation rate (%) ^b	33.4%	54.5%	52.4%	53.3%	48.5%	32.9%	26.2%	21.1%	27.5%
Participation rate (%) ^c	26.0%	42.8%	40.8%	41.1%	39.6%	25.4%	19.7%	17.2%	22.6%
Participants two dietary assessments (n)	5811	667	660	319	313	1674	1428	358	392
Cooperation rate (%) ^b	29.6%	47.3%	46.5%	48.5%	43.5%	29.2%	23.9%	17.6%	23.2%
Participation rate (%) ^c	23.0%	37.1%	36.2%	37.3%	35.5%	22.6%	18.0%	14.3%	19.0%

^aContact Rate= eligible/(eligible + unknown eligible individuals).

^bCooperation Rate= participants/eligible individuals.

^cParticipation Rate= participants/ (eligible + unknown eligible individuals).

The contact rate was 77.8%. The cooperation rate among eligible individuals was

33.4% considering the first interview and 29.6% for the participants with two completed dietary assessments. Similarly, the participation rate was 26.0% and 23.0%, respectively. The participation rates were higher in children and adolescents (approximately 40%) and much lower in the elderly (approximately 20%) (Table 2).

The characteristics of participants were compared with those individuals who refused to participate and who accepted to fill out a small refusal questionnaire by phone. Information on some important indicators, such as sex, age and region of residence, frequency of consumption of fruit and vegetables, regular practice of leisure-time physical activity and self-reported weight and height were available. Individuals who refused to participate were older (over 65 years old: 22% vs. 13%) and less educated (over 12 years: 19% vs. 27%), although for variables representing the main areas of the survey - fruit and vegetables consumption (≥ 5 portions/day: 18.6% vs. 18.1%), practice of regular leisure-time physical activity (33% vs. 39%), and obesity (12.4% vs. 12.7%) - the differences are of a small magnitude.

All statistics that will be used to calculate future estimates driven from this Survey, both at national or regional levels, will include the weighting of the sample data. The weighted sample represents the number of individuals from the national general population that are represented by each individual in the study sample. The sample weighting includes: i) initial weights to overcome the different probability of sampling units selection; ii) a second weight to overcome the different probability of individuals selection in each Unit, by sex and age (considering the total population, by sex and age groups in the closest recruitment wave); iii) correction of these initial weights for non-response bias.

The distribution of the interviews by week days and seasons was also analyzed. The distribution of interviews by day of the week was 18.3%, 20.5%, 18.5%, 16.9%, 8.2%, 5.1% and 12.6%, from Monday to Sunday. The distribution of the interviews by season showed that spring was the season where most interviews were performed

(35.9%), followed by winter (28.3%), summer (24.7%) and finally by autumn (11.1%).

Final databases were already checked and ready for analysis. Specific statistical analysis for identification of outliers and removal of intra-individual variability preceded the final analysis. Results of the survey will be disseminated in national and international scientific journals during 2018-2019.

DISCUSSION

The response rates were lower than expected, particularly among adults and elderly individuals, despite several dissemination activities (e.g. through regional media) that were undertaken to promote the Survey close to the population. However, results were similar to other national European dietary surveys using the same sampling approach (26, 27). Participants were older and less educated than refusals but did not differ on the prevalence of fruit and vegetables consumption, practice of regular leisure-time physical activity and obesity.

The used sampling frame covers the entire population resident with a national identification card, which means that illegal residents such as refugees or irregular immigrants are not included. Notwithstanding, most of these individuals, even if captured by the sampling strategy, would be classified as not eligible for answering the questionnaire, since being non-Portuguese speaker was considered as exclusion criteria. Moreover, the proportion of legal foreign residents is only 3.7% (7). Some other vulnerable and marginalized population groups, such as homeless people, despite not having participated, represent a small part of the Portuguese population (696 according to CENSUS 2011) (7). The absence of these individuals in the sample is not expected to influence the final results and the representativeness of the sample.

The distribution of interview week days and seasonality was checked and, although not exactly as planned, follows the minimum requisites of having a considerable number of registries in all the week days and season. Fewer registries on

Saturdays result from the fact that no interviews were scheduled on Sundays, being the report of Saturdays and Sundays both held on Mondays. Friday also has fewer registries than other weekdays since not all Health Care Units were opened on Saturdays, despite the continuous efforts of the fieldwork team to have alternative spaces for the interviews on Saturdays. Additionally, autumn was the season with the lowest proportion of interviews, since it coincided with the beginning and the end of the field work, when there are less concentrated interviews

Following the European standards of dietary assessment is a major strength of this project since it will allow the comparison of important indicators in Europe in several different domains of food and nutrient intake, eating behaviors, nutritional status, food safety and food insecurity. Information of the major contributors for sugar, sodium or fat intake, will orient new community interventions. The information could also give support to the assessment of the impact of current legislative measures, such as the related to reduction of sugar in soft drinks or reduction of salt in bread. Furthermore, accurate and detailed food consumption data are important for the assessment of risk exposure to potentially hazardous substances. The use of the Foodex2 food classification system, proposed by EFSA (9), improves the possibility of getting information of risk assessment related to food biological and chemical hazards, namely main exposures on chemicals added intentionally to food such as additives (e.g. artificial sweeteners, preservatives, artificial colours) and on chemical compounds from food processing (e.g. nitrosamines, heterocyclic amines, and aromatic hydrocarbons) and packaging materials (e.g., bisphenol A and phthalates) that enter the food supply inadvertently.

For PA, the harmonization of procedures in Europe is still under discussion but having information on different domains such as overall activity level, structured leisure-time physical exercise, and sedentary behaviors could be useful for supporting the estimation of indicators and to better develop new population interventions.

Findings from this Survey will allow having national updated knowledge on the distribution of diet, PA and other health-related risks according to sex, age, education and geographical region. It also serves as an important descriptive starting point for future follow-up surveys in specific target groups. It expects to contribute for the development of national and European evidence-based policies which translate research into effective nutrition and health strategies, sustainable over time. A comprehensive analysis according to socioeconomic dimensions will also contribute to the development of policies with impact in equity and human well-being.

It is expected that the structure and information driven from this Survey could also contribute to develop and consolidate solid infrastructures for epidemiological and public health research by building a future national functioning surveillance system on diet, PA and other health behaviors, reproducible over time.

List of abbreviations

ACI: Activity Choice Index

CAPI: Computer-assisted personal interviewing

eAT24: Electronic Assessment Tool for 24-hours recall

EFSA: European Food Safety Authority

FPQ: Food Propensity Questionnaire

IAN-AF: The National Food, Nutrition and Physical Activity Survey, 2015-2016
(Portuguese acronym)

IPAQ: International Physical Activity Questionnaire

PA: Physical Activity

References

1. EFCOSUM Group. European Report on Food Consumption Survey Methods. TNO Nutrition and Food Research, The Netherlands 2001.
2. Gonçalves Ferreira FA, Amorim Cruz JA, Rego de Aguiar LA, Martins I, Carlota Mano M, Ascensão Dantas M. National Dietary Survey 1980 [in Portuguese: Inquérito Alimentar Nacional 1980 (1ª parte)]. *Revista do Centro de Estudos de Nutrição* 1985; **9**(4): 5-124.
3. European Food Safety Authority. Guidance on the EU Menu methodology. *EFSA Journal* 2014; **12**(12): 3944-77.
4. Folstein M, Folstein S, McHush R. 'Mini-mental state'. A practical method for grading the cognitive state of patients for the clinician. *J Psychiatr Res* 1975; **12**: 189-98.
5. Creavin ST, Wisniewski S, Noel-Storr AH, Trevelyan CM, Hampton T, Rayment D *et al*. Mini-Mental State Examination (MMSE) for the detection of dementia in clinically unevaluated people aged 65 and over in community and primary care populations. *Cochrane Database Syst Rev* 2016; (1): CD011145. doi:10.1002/14651858.CD011145.pub2
6. Guerreiro M, Silva AP, Botelho MA, Leitão O, Castro-Caldas A, Garcia C. Adaptation of the Mini Mental State Examination (MMSE) translation to the Portuguese population [in Portuguese: Adaptação à população portuguesa da tradução do "Mini Mental State Examination" (MMSE)]. *Revista Portuguesa de Neurologia* 1994; **1**: 9-10.
7. National Institute of Statistics (INE). Statistics Portugal. Population by residence, sex, age group and naturality - Census 2011. 2012; Available from: <http://censos.ine.pt>. <http://www.webcitation.org/6vHjF6mLN>.
8. Moshfegh AJ, Rhodes DG, Baer DJ, Murayi T, Clemens JC, Rumpler WV *et al*. The US Department of Agriculture Automated Multiple-Pass Method reduces bias in the collection of energy intakes. *Am J Clin Nutr* 2008; **88**(2): 324-32.

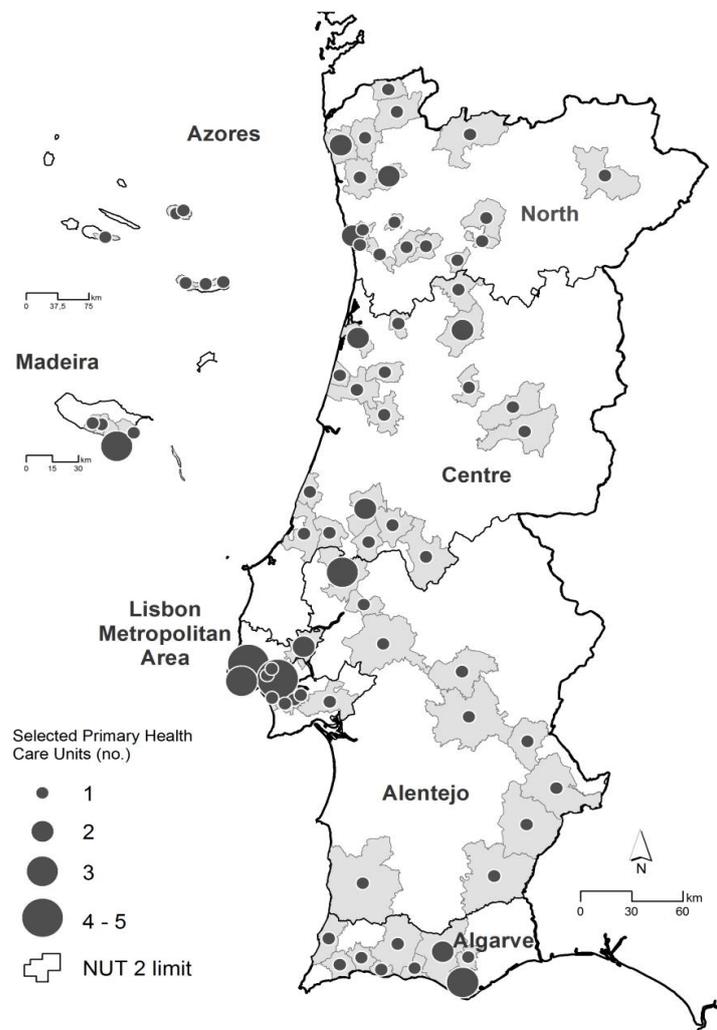
9. European Food Safety Authority. Report on the development of a Food Classification and Description System for exposure assessment and guidance on its implementation and use. *EFSA Journal* 2011; **9**(12).
10. National Institute of Health Dr Ricardo Jorge. Food Composition Table [in Portuguese: Tabela da Composição de Alimentos]. 2006. Lisbon: Center of Food Safety and Nutrition Editor.
11. EUROFIR. Proposal for the harmonisation of recipe calculation procedures. 2007. Available online: http://www.fao.org/uploads/media/reinivuvo_laitinen_2007_Eurofir_recipe_harmonisation_D2.2.12_M2.2.4_02.pdf [Accessed 10 March, 2017].
12. Ambrus Á, Horváth Z, Farka Z, Cseh J, Petrova S, Dimitrov P *et al*. Pilot study in the view of a Pan-European dietary survey - adolescents, adults and elderly. *EFSA Supporting Publications* 2013: EN-508: 104 pp.
13. de Boer EJ, Slimani N, van 't Veer P, Boeing H, Feinberg M, Leclercq C *et al*. Rationale and methods of the European Food Consumption Validation (EFCOVAL) Project. *Eur J Clin Nutr* 2011; **65 Suppl 1**: S1-4. doi:10.1038/ejcn.2011.82
14. Core indicators of nutritional state for difficult-to-sample populations. *J Nutr* 1990; **120 Suppl 11**: 1559-600.
15. Radimer K, Olson C, Campbell C. Development of indicators to assess hunger. *J Nut* 1990; **120**: 1544-8.
16. Bickel G, Nord M, Price C, Hamilton W, Cook J. Measuring Food Security in the United States: Guide to Measuring Household Food Security - Revised 2000. Virginia: USDA2000.
17. Craig CL, Marshall AL, Sjostrom M, Bauman AE, Booth ML, Ainsworth BE *et al*. International physical activity questionnaire: 12-country reliability and validity. *Med Sci Sports Exerc* 2003; **35**(8): 1381-95. doi:10.1249/01.mss.0000078924.61453.fb
18. Mullen SP, Silva MN, Sardinha LB, Teixeira PJ. Initial Validation of the Activity

- Choice Index Among Overweight Women. *Res Q Exerc Sport* 2016; **87**(2): 174-81.
doi:10.1080/02701367.2016.1152349
19. Bouchard C, Tremblay A, Leblanc C, Lortie G, Savard R, Theriault G. A method to assess energy expenditure in children and adults. *Am J Clin Nutr* 1983; **37**(3): 461-7.
20. Ainsworth BE, Haskell WL, Herrmann SD, Meckes N, Bassett DR, Jr., Tudor-Locke C *et al.* 2011 Compendium of Physical Activities: a second update of codes and MET values. *Med Sci Sports Exerc* 2011; **43**(8): 1575-81.
doi:10.1249/MSS.0b013e31821ece12
21. Ainsworth BE, Haskell WL, Whitt MC, Irwin ML, Swartz AM, Strath SJ *et al.* Compendium of physical activities: an update of activity codes and MET intensities. *Med Sci Sports Exerc* 2000; **32**(9 Suppl): S498-504.
22. Ridley K, Ainsworth BE, Olds TS. Development of a compendium of energy expenditures for youth. *Int J Behav Nutr Phys Act* 2008; **5**: 45. doi:10.1186/1479-5868-5-45
23. The International Society for the Advancement of Kinanthropometry: International Standards for Anthropometric Assessment. 2001. .
24. Guerra RS, Fonseca I, Pichel F, Restivo MT, Amaral TF. Hand length as an alternative measurement of height. *Eur J Clin Nutr* 2014; **68**(2): 229-33.
doi:10.1038/ejcn.2013.220
25. Goldberg GR, Black AE, Jebb SA, Cole TJ, Murgatroyd PR, Coward WA *et al.* Critical evaluation of energy intake data using fundamental principles of energy physiology: 1. Derivation of cut-off limits to identify under-recording. *Eur J Clin Nutr* 1991; **45**(12): 569-81.
26. Bel S, Van den Abeele S, Lebacqz T, Ost C, Brocatus L, Stiévenart C *et al.* Protocol of the Belgian food consumption survey 2014: objectives, design and methods. *Archives of Public Health* [journal article] 2016; **74**(1): 20.
doi:10.1186/s13690-016-0131-2

27. Myhre JB, Loken EB, Wandel M, Andersen LF. Eating location is associated with the nutritional quality of the diet in Norwegian adults. *Public Health Nutr* 2014; **17**(4): 915-23. doi:10.1017/s1368980013000268

Figure legends

Figure 1. Spatial Distribution of the Primary Health Care Units, Randomly Selected by the Seven Statistical Geographic Units of Portugal (NUTS II), Weighted by the Number of Registered Individuals – The IAN-AF 2015-2016 Survey.



Abbreviations: NUT, Statistical Geographic Units of Portugal